



**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

**-- PATENT --**

Applicants: **Chien et al.** Docket No.: **40655.1300**  
 Serial No.: **09/834,478**; Confirmation No.: **2560** Group Art Unit: **2162**  
 Filing Date: **April 13, 2001** Examiner: **TBA**  
 Title: **A SYSTEM AND METHOD FOR USING LOYALTY POINTS**

**DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention **A SYSTEM AND METHOD FOR USING LOYALTY POINTS**, the specification of which:

☐ is attached hereto.  
☒ was filed on **April 13, 2001** as Application Serial No. **09/834,478** and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

<u><b>NONE</b></u>			Priority Not Claimed
Number	Country	Filing Date	<input type="checkbox"/>
<u><b>NONE</b></u>			<input type="checkbox"/>
Number	Country	Filing Date	

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

60/197,296

Application Number

April 14, 2000

Filing Date

60/200,492

Application Number

April 28, 2000

Filing Date

60/201,114

Application Number

May 2, 2000

Filing Date

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

NONE

Application Serial No.

Filing Date

Status (Patent, Pending, Abandoned)

NONE

Application Serial No.

Filing Date

Status (Patent, Pending, Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

### POWER OF ATTORNEY

As a below named inventor, I hereby appoint **Thomas J. Finn (Reg. 48,066)** and the following attorneys to prosecute the above-captioned United States patent application and to transact all business in the United States Patent and Trademark Office connected therewith and with the resulting patent, individually and collectively:

**SNELL & WILMER LLP.**

One Arizona Center

400 East Van Buren

Phoenix, Arizona 85004-2202

Telephone: (602) 382-6000

Facsimile: (602) 382-6070

and each of the registered attorneys associated with Snell & Wilmer's Customer Account No. 20322.

**Please send all future correspondence to Thomas J. Finn (Reg. 48,066) at the above address.**

Full name of first inventor: Emily Chien

Inventor's signature: \_\_\_\_\_

Date: 4/12/01Residence Address: 21 East 87th StreetCity/State: New York, NYZip Code: 10128Citizenship: USAFull name of second inventor: Trish Sanchez

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence Address: 147 North Eighth AvenueCity/State: Highland Park, NJZip Code: 08904Citizenship: USAFull name of third inventor: Daniela Saunders

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence Address: 280 Rector Place, Apt. 3ACity/State: New York, NYZip Code: 10280Citizenship: USAFull name of fourth inventor: Jill Wiseman

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence Address: 200 East 82nd Street, #16CCity/State: New York, NYZip Code: 10028Citizenship: USA

Full name of first inventor: Emily Chien

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 21 East 87th StreetCity/State: New York, NY Zip Code: 10128Citizenship: USAFull name of second inventor: Trish SanchezInventor's signature:  Date: 5/15/01Residence Address: 147 North Eighth AvenueCity/State: Highland Park, NJ Zip Code: 08904Citizenship: USAFull name of third inventor: Daniela Saunders

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 280 Rector Place, Apt. 3ACity/State: New York, NY Zip Code: 10280Citizenship: USAFull name of fourth inventor: Jill Wiseman

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 200 East 82nd Street, #16CCity/State: New York, NY Zip Code: 10028Citizenship: USA

Full name of first inventor: Emily Chien

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 21 East 87th Street

City/State: New York, NY Zip Code: 10128

Citizenship: USA

Full name of second inventor: Trish Sanchez

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 147 North Eighth Avenue

City/State: Highland Park, NJ Zip Code: 08904

Citizenship: USA

Full name of third inventor: Daniela Saunders

Inventor's signature: Daniela S. [Signature] Date: 4/13/01

Residence Address: 280 Rector Place, Apt. 3A

City/State: New York, NY Zip Code: 10280

Citizenship: USA

Full name of fourth inventor: Jill Wiseman

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 200 East 82nd Street, #16C

City/State: New York, NY Zip Code: 10028

Citizenship: USA

Full name of first inventor: Emily Chien

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 21 East 87th StreetCity/State: New York, NY Zip Code: 10128Citizenship: USAFull name of second inventor: Trish Sanchez

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 147 North Eighth AvenueCity/State: Highland Park, NJ Zip Code: 08904Citizenship: USAFull name of third inventor: Daniela Saunders

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 280 Rector Place, Apt. 3ACity/State: New York, NY Zip Code: 10280Citizenship: USAFull name of fourth inventor: Jill WisemanInventor's signature:  Date: 4/12/01Residence Address: 200 East 82nd Street, #16CCity/State: New York, NY Zip Code: 10028Citizenship: USA

Full name of fifth inventor: C.R. Balagopal

Inventor's signature: 

Date: 4/15/01

Residence Address: P.O. Box 1712

City/State: Litchfield Park, AZ

Zip Code: 85340

Citizenship: India

Full name of sixth inventor: Al Kinderknecht

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence Address: 5334 East Kelton Lane

City/State: Scottsdale, AZ

Zip Code: 85254

Citizenship: USA

Full name of seventh inventor: Jon W. Parson

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence Address: 1418 West Lake Mirage

City/State: Gilbert, AZ

Zip Code: 85233

Citizenship: USA

Full name of eighth inventor: Ray Preston

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence Address: 8219 West Mariposa Grande

City/State: Peoria, AZ

Zip Code: 85382

Citizenship: USA



Full name of fifth inventor: C.R. Balagopal

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: P.O. Box 1712City/State: Litchfield Park, AZ Zip Code: 85340Citizenship: IndiaFull name of sixth inventor: Al KinderknechtInventor's signature: Al Kinderknecht Date: 4/24/01Residence Address: 5334 East Kelton LaneCity/State: Scottsdale, AZ Zip Code: 85254Citizenship: USAFull name of seventh inventor: Jon W. Parson

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 1418 West Lake MirageCity/State: Gilbert, AZ Zip Code: 85233Citizenship: USAFull name of eighth inventor: Ray Preston

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 8219 West Mariposa GrandeCity/State: Peoria, AZ Zip Code: 85382Citizenship: USA

Full name of fifth inventor: C.R. Balagopal

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: P.O. Box 1712City/State: Litchfield Park, AZ Zip Code: 85340Citizenship: IndiaFull name of sixth inventor: Al Kinderknecht

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 5334 East Kelton LaneCity/State: Scottsdale, AZ Zip Code: 85254Citizenship: USAFull name of seventh inventor: Jon W. ParsonInventor's signature:  Date: \_\_\_\_\_Residence Address: ~~1418 West Lake Mirage~~ 6834 E. Culver StCity/State: ~~Mesa~~ Gilbert, AZ Zip Code: ~~85233~~ 85207Citizenship: USAFull name of eighth inventor: Ray Preston

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 8219 West Mariposa GrandeCity/State: Peoria, AZ Zip Code: 85382Citizenship: USA

Full name of fifth inventor: C.R. Balagopal

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: P.O. Box 1712

City/State: Litchfield Park, AZ Zip Code: 85340

Citizenship: India

Full name of sixth inventor: Al Kinderknecht

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 5334 East Kelton Lane

City/State: Scottsdale, AZ Zip Code: 85254

Citizenship: USA

Full name of seventh inventor: Jon W. Parson

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 1418 West Lake Mirage

City/State: Gilbert, AZ Zip Code: 85233

Citizenship: USA

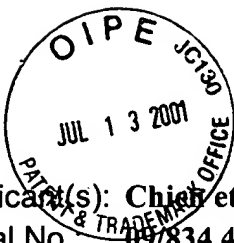
Full name of eighth inventor: Ray Preston

Inventor's signature:  \_\_\_\_\_ Date: 4-9-01

Residence Address: 8219 West Mariposa Grande

City/State: Peoria, AZ Zip Code: 85382

Citizenship: USA



#3

Applicant(s): **Chien et al.**

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Title: **A SYSTEM AND METHOD FOR USING LOYALTY POINTS**

Atty Docket No.: **40655.1300**

Group Art Unit: **2162**

Examiner: **TBA**

**POWER OF ATTORNEY BY ASSIGNEE  
AND CERTIFICATE UNDER 37 CFR 3.73(b)**

AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY, INC. ("Assignee"), a corporation having a place of business at American Express Tower, World Financial Center, New York, New York 10285-4900 certifies that to the best of Assignee's knowledge and belief, it is the assignee of the entire right, title, and interest in and to the above-captioned United States patent application and represents that the undersigned is a representative authorized and empowered to sign on behalf of the Assignee.

Ownership of the above-identified patent application is established by virtue of the following documentary evidence that has been reviewed by the undersigned:

An assignment from the inventor(s) of the patent application identified above. The assignment for which a copy thereof is attached.

AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY, INC., the Assignee of the entire right, title, and interest in and to the above-captioned United States patent application and all inventions disclosed and claimed therein, hereby appoints as its attorneys to prosecute the above-captioned United States patent application and to transact all business in the United States Patent and Trademark Office connected therewith and with the resulting patent, individually and collectively:

**SNELL & WILMER L.L.P.**  
One Arizona Center  
400 East Van Buren  
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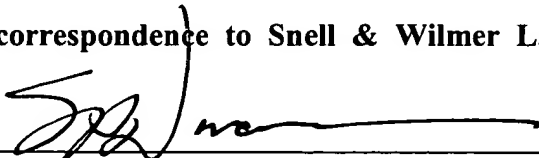
Thomas J. Finn (Reg. No. 48,066) and the other registered attorneys associated with Snell & Wilmer's Customer Number 020322.

**Please send all further correspondence to Snell & Wilmer L.L.P. at the above address.**

By: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_



*Secretary*

*7-10-01*